



# Client Success Stories (Cont'd)

This client went public in late '07 and didn't start SOX work until late summer '08. They had multiple challenges as a very small public client, but we were able to help them develop a system of internal controls from scratch and they met their year-end deadline. This situation shows the remarkable scalability of our model from mature to develop stage companies.

### Alternative Fuels (Market Cap: >\$25 mil)

- Integration of alternative fuels production
- External Auditors: BDO
- Lots of growing pains: IPO in Dec '07
- Multiple locations in scope: US and India
- We were engaged for initial '08 Assessment
- '08 Results:
  - Material Weaknesses (anticipated from start due to the development stage of company) Few year-end deficiencies
  - Established Internal Control infrastructure
  - Met or beat all budgeted cost milestones
- Keys to SOX Success:
  - Trained process owners
  - Frequent, productive communications with External Auditors
  - Provided management timely feedback to make adjustments
- Providing on-going SOX compliance services



“Met or **beat** all budgeted cost milestones...”